

ALLAMA IQBAL OPEN UNIVERSITY
(Registrar's Department)

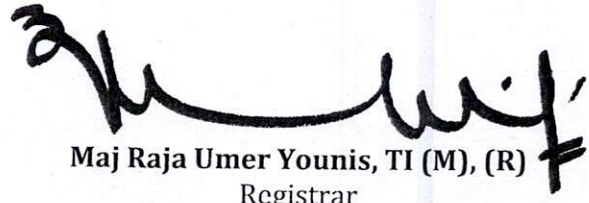
No.F.21-170/2023-Reg/ 250

Islamabad the 7th May, 2024

NOTIFICATION

On the recommendation of 66th meeting of Academic Council, the Executive Council in its 129th meeting held on 19.04.2024, in exercise of its powers conferred under Chapter-IV, Clause-19(2)(x) of AIQU's Act 1974 (as amended up-to 1984) has ratified the Notification No.F.1-312/2023-Admn/3404 dated 23.08.2023 and approved the adoption of "Anti-Plagiarism Policy (Version 2.0)" notified by the Higher Education Commission vide their notification No.15-54/Coord/2019/HEC/(QAD)/646 dated 02.08.2023.

Enclosed: (Thirty (30) pages)


Maj Raja Umer Younis, TI (M), (R)
Registrar

Distribution:

1. All Deans
2. All Heads of Departments
3. Treasurer
4. Executive Director P&M
5. Director Academic Planning & Course Production
6. University Auditor
7. PS to Vice-Chancellor
8. Notification file

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ALLAMA IQBAL OPEN UNIVERSITY
(Registrar's Department)

No.F.1-312/2023-Admn/3404

Dated: 23rd August, 2023.

NOTIFICATION

The Vice-Chancellor, in anticipation of the approval of Academic Council has been pleased to approve the adoption of "Anti-Plagiarism Policy (Version 2.0)" notified by the Higher Education Commission vide their Notification No.15-54/Coord/2019/HEC/(QAD)/646 dated 02.08.2023 (Annexure-A).

Samy

(Rana Sharafat Ali)
Assistant Registrar (General)

Distribution:

1. All Deans/Heads of Departments.
2. Executive Director (P&M)
- ✓ 3. Assistant Registrar (AMC)

- For placing the case in the forthcoming meeting of the Academic Council.

4. SSO to Vice-Chancellor.
5. Relevant File.

Supt (Amc)

24/08

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Annexure: "A"



HIGHER EDUCATION COMMISSION

Coordination Division
H-9, Islamabad (Pakistan)

15-54/Coord/2019/HEC/(QAD)/646

August 2, 2023

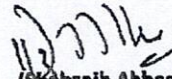
Notification

In pursuance of the approval of the Commission in its 41st meeting held on April 19, 2023, the 'ANTI-PLAGIARISM POLICY (VERSION 2.0)' is hereby notified for implementation by all Higher Education Institutions (HEIs) in the country.

02. The policy has been finalized after review of the existing HEC's Plagiarism Policy, taking into consideration international best practices, emerging trends, and feedback from various stakeholders, and covers updated guidelines and procedures to prevent and address instances of plagiarism in the higher education sector.

03. The policy is available at:

<https://www.hec.gov.pk/english/policies/Documents/Plagiarism-Policy.pdf>


(Shahzaib Abbasi)
Director (Coordination)

Distribution to:

- i. Office of the Chancellors of all public and private sector HEIs
- ii. Secretary Higher Education in provinces and regions
- iii. Vice Chancellors/Rectors/Heads of all HEIs.

cc.to:

- i. E.S. to the Chairman, HEC
- ii. E.S. to the Executive Director, HEC
- iii. All Divisions and Regional Centers, HEC

For enquiries, please contact Ms. Sadia Bukhari | Deputy Director | QAD | Email: sabukhari@hec.gov.pk | 051-90801111

Kind Regards:

August 2023



ANTI-PLAGIARISM POLICY

VERSION 2.0

HIGER EDUCATION COMMISSION
ISLAMABAD

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List of Abbreviations

APA:	American Psychological Association
CNIC:	Computerized National Identity Card
COPE:	Committee on Publication Ethics
DAI:	Degree Awarding Institution
DOI:	Digital Object Identifier
E&D:	Efficiency and Discipline
EDS:	Electronic Detection System
HEC:	Higher Education Commission
HEI:	Higher Education Institution
IPR:	Intellectual Property Rights
MLA:	Modern Language Association
NPSC:	National Plagiarism Standing Committee
QEC:	Quality Enhancement Cell
R&D:	Research and Development Organizations
ToR	Term of Reference
UAPSC:	University Anti-Plagiarism Standing Committee
VC:	Vice Chancellor

1. Preamble

The Higher Education Commission introduced the first Plagiarism Policy in 2007 intending to protect, respect, credit, and recognize the original research and scholarly publications and curb the menace of plagiarism through systemic improvements based on process, development, and punitive actions besides safeguarding against the bogus or false complaints. Since its launch in 2007, a few challenges and concerns were raised about the policy related in general to the interpretation of plagiarism (definitions) across various disciplines, clarity of roles and responsibilities of HEIs vis-à-vis HEC, lack of appeal process, subjective determination of penalties and incomplete articulation of processes of complaints. Given the circumstances, it became essential to review and improve the HEC Plagiarism Policy to incorporate various forms and illustrations in which plagiarism exhibits itself, present a methodology of investigation, cater for punitive action proportional to the extent of the offence, address the issue of false or spurious complaints, institute appellate process, etc. g Efficiency & Discipline Rules and the Service Statutes of research institutions and organizations.

The revised Anti-Plagiarism Policy reflects several improvements in terms of principles of the policy, definitions, and types of plagiarism, clear complaint lodging procedure, the composition of the University Anti-Plagiarism Standing Committee, grounds and penalties of plagiarism, the constitution of National Plagiarism Standing Committee at HEC, appellate process, etc.

Introducing this policy, the Commission firmly believes that its implementation would significantly enhance academic integrity, thereby the overall quality of the higher education system.

2. The HEC's Mandate to Prevent Plagiarism

The Higher Education Commission (HEC) Ordinance of 2002, under section 10(a), demands that the Commission needs to develop guidelines or policies for improving and promoting quality and ethical research culture. The relevant section of the Ordinance is reproduced below:

Section 10 (a) : *Formulate policies, guiding principles, and priorities for higher education institutions for the promotion of socio-economic development of the country.*

Given the quoted section, the HEC tasked the Experts Committee on June 29, 2021, to review and revise the Anti-Plagiarism Policy (HEC Plagiarism Policy 2007). This revised Policy

intends to uphold the autonomy and responsibility of HEIs/DAIs to ensure the authenticity of ethical research and eliminate the scourge of plagiarism. Anti-plagiarism is just one component of the broader policy framework that deals with Academic Dishonesty and Research Ethics (Gift authorship, Dispute of authorship, Citation Racketeering, etc.). The policy review has to be a dynamic process, due to the evolving National and International ground realities. It is recommended that the Anti-Plagiarism Policy be reviewed, at least once every three to five years, to reflect upon, and respond to, emerging developments.

3. Principles of the Policy

The Policy is based on the following general principles:

- a. Awareness for Preventing Plagiarism: Universities and faculty members should arrange regular capacity-building activities, within each calendar year, to create awareness about avoiding plagiarism in its various forms.
- b. Following Research Ethics: Universities, faculty, students, and staff should follow research ethics to avoid plagiarism in their academic and research contributions.
- c. Respecting Intellectual Contribution: Researchers/Scholars and Faculty members should acknowledge other researchers' intellectual work, as per the norms of their respective disciplines.
- d. Devising Process for Probing Plagiarism: Plagiarism is considered a serious matter, and there is a need to curb this menace through proper, detailed, and defined processes.

4. Definition of Plagiarism

The online Oxford Learner's Dictionary defines the term *Plagiarism* as follows:

"The practice of copying another person's ideas, words or work and pretending that they are your own."¹

The online Merriam-Webster dictionary's definition of *Plagiarism* is as follows:

"To steal and pass off (the ideas or words of another) as one's own: use (another's production) without crediting the source"²

¹ <https://www.oxfordlearnersdictionaries.com/us/definition/english/plagiarism?q=plagiarism>

² <https://www.merriam-webster.com/dictionary/plagiarized>

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Furthermore, the following acts fall within the scope and definition of plagiarism:

- a. To steal and present the ideas or words of others as one's own
- b. To use another person's production, without citing and crediting the source
- c. To commit literary theft
- d. To present as a new and original idea or product derived from an existing scholarly source.
- e. Turning in someone else's work as one's own
- f. Copying words or ideas from someone else without giving credit
- g. Failing to put a quote or quotation marks, when copying the exact language from a source
- h. Giving incorrect information about the source of a quotation
- i. Changing words but copying the sentence structure of a source without giving credit
- j. Copying a bulk of words or ideas from other references and including them in your work, whether you give credit or not.

The following activities are prevalent in today's technology-driven society. Despite their everyday use, they still count as academic cheating and plagiarism if done without permission from the original artists/creators.

- a. Copying media (especially images) from other websites to paste them into your work or websites.
- b. Making a video using footage from others' videos or copyrighted art and music as part of a soundtrack.
- c. Performing another person's copyrighted music (i.e., playing a cover) without permission.
- d. Composing a piece of music which is heavily borrowed from another composition.

Indeed, some media can create challenging situations to determine if the copyrights of a work are being violated. For example:

- a. A photograph or scan of a copyrighted image (using a picture of a book cover to represent that book on one's website)
- b. Recording audio or video in which copyrighted music or video is playing in the background.

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- c. Re-creating a visual work in the same medium. (Shooting a photograph that uses the same composition and subject matter as someone else's photograph)
- d. Re-creating a graphic work in a different medium (making a painting closely resembling another person's photo without permission).
- e. Re-mixing or altering copyrighted images, videos, audio, or other artistic expressions.
- f. Use of ChatGPT and similar machine-generated text.

For determining/avoiding unauthorized use of somebody else's copyrighted material, guidelines from the Committee on Publication Ethics (COPE) are available at <https://publicationethics.org/guidance/Guidelines>

5. Common Types of Plagiarism

- a. **Students Collusion:** Working with other students on an assignment meant for individual assessment.
- b. **Word-for-Word Plagiarism:** Copying and pasting content without proper attribution/ reference.
- c. **Self-Plagiarism:** Reusing one's previously published or submitted work without proper attribution.
- d. **Mosaic Plagiarism:** Weaving phrases and text from several sources into one's work. Adjusting sentences without quotation marks or attribution.
- e. **Software-based Text Modification:** Taking content written by another person and running it through a software tool (text spinner, translation engine) to evade plagiarism detection.
- f. **Contract Cheating:** Engaging a third party (for a fee, for free or in-kind compensation) to complete an assignment and representing that as one's work, if proven.
- g. **Inadvertent Plagiarism:** Forgetting to properly cite or quote a source or unintentional paraphrasing or violation of stylistic norms.
- h. **Paraphrase Plagiarism:** Rephrasing a source's ideas without proper attribution.
- i. **Computer Code Plagiarism:** Copying or adapting source code without permission from, and attribution to, the original creator.

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- j. **Data Plagiarism:** Falsifying or fabricating data or improperly appropriating someone else's work, putting a researcher, institution, or publisher's reputation in jeopardy.
- k. **Manual Text Modification:** Manipulating text with the intention of misleading the plagiarism detection software.
- l. **Source-based Plagiarism:** Providing inaccurate or incomplete information about sources which do not exist.

6. Aims of the Policy

This Policy seeks to create awareness about avoiding all kinds of plagiarism among the stakeholders i.e., students, mentors/supervisors, researchers, faculty members, and staff of universities or Degree Awarding Institutions (DAIs), constituent colleges, affiliated colleges, and affiliated R&D institutes/organizations. It addresses a central problem regarding academic dishonesty and the processes involved in probing any complaint of plagiarism.

7. Applicability

The Policy applies to students, employees of universities/organizations, faculty members, researchers, and staff of all Universities and DAIs of Pakistan, whether operating in the private or public sectors. The Policy applies to all degree programs at undergraduate and graduate levels. In this context,

A "Student" is a person who, on the date of the submission of his/her paper/work, is a registered student at any university, DAI, constituent, or affiliated college, recognized by the Higher Education Commission (HEC).

A "Faculty Member/Researcher" includes a faculty member or equivalent at a University/Organization, constituent or affiliated college, or researcher of an organization or any such other person as may be declared so by regulations. A Faculty Member/Researcher may be working on a regular, contractual, visiting, ad hoc, or adjunct basis, or engaged online.

All such scholars/supervisors/stakeholders, who are researching in HEIs/DAIs and have placed their CVs or any other publication(s) on the institutional website, and are applying for any benefit, based on their published or presented works, which later prove to be plagiarized, will be liable to be punished, as per the prescribed rules.

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8. Responsibility of the Higher Education Institutions and Organizations

All HEIs/DAIs must effectively communicate this Policy to their students, faculty members, researchers, and staff. Author(s) are deemed individually and collectively responsible for the contents of their paper(s)/book i.e. published work of literature or scholarship (<https://www.britannica.com/topic/book-publication>)/book chapters, etc. Please see 'Sample Undertaking' in Annexure-1.

All HEIs/DAIs and research organizations must provide orientation to young scholars, embarking on ethical research activities, in a bid to spread awareness among them regarding the recognized manuals, such as the MLA Style Sheet, APA User Manual, and other international scholarly norms of conducting, reporting, and sharing of research. Violations of Intellectual Property Rights (IPR), including Plagiarism, is a severe crime with legal ramifications. For details, please visit <https://publicationethics.org/guidance/Guidelines>.

Faculty members/supervisors are strongly encouraged to use their subject knowledge and familiarity with the skills/aptitude of students to confidently reinforce in them the highest ethical standards, in terms of discouraging any kind of plagiarism and academic cheating, through the existing detection and academic evaluation mechanisms at their disposal.

Universities which do not follow the HEC Anti-Plagiarism Policy will get reported as non-compliant in the QA criteria for future rankings and funding.

9. Lodging a Plagiarism Complaint

A complaint regarding plagiarism may be lodged with the VC/President/Rector or Head/Principal of the respective university/DAI for further probe. In cases, where the accused person is the Vice-Chancellor/Rector or Head of the Institution, the complaint should be forwarded to the National Plagiarism Standing Committee (NPSC), through Chairperson HEC/Quality Assurance Division of HEC, with a copy to the Appointing Authority i.e., Chancellor/President as well as the Provincial Higher Education Department/Commission. However, the findings/decision of the NPSC shall be shared with the Appointing Authority/HEIs for implementation/action. The complaint may be forwarded to the respective institution or organization through post, fax, email, or other means. The complainant may be a faculty member, student, or researcher of any of the HEC-recognized universities/DAIs or a concerned citizen. To file a complaint, the complainant is required to share:

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- a. A copy of his/her own Computerized National Identity Card (CNIC), if from Pakistan, or Passport, in the case of foreigners, or other legally valid proof of identity
- b. Citation of the original paper or document or idea, which was plagiarized, (paper title, author(s), publication title, month and year of publication, and the journal, in which it was published, with all the details)
- c. Citation of the alleged plagiarized paper (paper title, author(s), publication title, month and year of publication, the journal details where it was published along with the DOI number, if available). If the report is unpublished (e.g., institutional, technical writing), the complainant must provide as much information as possible to ensure proper investigation.
- d. Original Journals or Certified Copies of both the allegedly plagiarized document and the original document e.g., papers or theses or electronic copy with DOI number, where applicable.
- e. Any other information that would help the university/DAI or HEC to efficiently probe the claim/allegation.
- f. Name, Designation, Organization, email address, and telephone number of the complainant.
- g. In case there is a report of an examiner or reviewer that indicates a thesis/work is plagiarized, that report can become the basis of a plagiarism case/investigation. This also applies to a report by a concerned citizen.
- h. In case of failure, on the part of the university/DAI, to take up the case as per the procedure, within 90 days, HEC may forward the complaint to the Chancellor of the university/DAI for information.
- i. The VC/Rector/Head of the organization may become the complainant if there is overwhelming evidence of plagiarism. However, anonymous complaints shall not be considered for any further action.

10. Investigating Plagiarism Complaints

The respective university/organization will, initially, deal with complaints regarding plagiarism, according to the procedure, given below:

For investigation of plagiarism cases, the VC/Rector/Head of the organization shall:

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- a. Consider an allegation of plagiarism by students, faculty, and others and constitute a "University Anti-Plagiarism Standing Committee" (UAPSC) with the following composition:
 - i. Assurance Division, HEC, who will nominate a faculty member, well-conversant with the HEC Anti-plagiarism policy)
 - ii. The nominate, senior dean and two (02) senior professors from outside University/DAI
 - iii. Three subject experts: one from the university/DAI, and two (02) from other universities to be nominated by the Academic Council and approved by Syndicate. The university should maintain a panel of experts, preferably from all major disciplines, duly approved by the Academic Council.
 - iv. Director QEC as a member/secretary
- b. Senior faculty members (of the same or other universities) who have unblemished careers and integrity and who meet other parameters indicative of a commitment to research ethics and excellence. The quorum of the committee will be comprised of four (04) members. The seniority/rank of the University Anti-Plagiarism Standing Committee members should be equal to or greater than the accused, keeping in view the seniority/rank of the individual being investigated and the nature and gravity of the offense. The opinion of the subject experts should be given due weightage. However, the decision shall be based on principle, not on the majority. The senior member will chair the UAPSC. Policy guidelines and SOPs may be provided by the university to the UAPSC for assistance.
- c. Provide clear Terms of Reference (ToR) to the UAPSC for the investigation. Sample ToRs are enclosed as **Annexure-2**.
- d. Provide a fair opportunity to the accused or author(s) under investigation to defend the originality of their concepts and research work. A similar opportunity will also be provided to the author(s) whose paper(s) is/are deemed to have been plagiarized and/or the complainant (if any), to testify to the veracity of the allegations in the plagiarism complaint.
- e. Facilitate the UAPSC to use all available means, including legal and E&D provisions, to investigate the plagiarism case.
- f. All members of the UAPSC are to sign confidentiality and conflict of interest statements. If a conflict of interest occurs, the member(s) are to recuse themselves. During the investigation, the committee members will not disclose any individual author's name,

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paper titles, referees, or any other personal or specific information concerning the plagiarism complaint under investigation, nor shall they reveal their names. The findings of the respective committee would be placed before the Appointing/Administrative Authority (Syndicate for Students/Faculty and Chancellor for VC) for review and necessary action. In case of a complaint against VCs, the HEC can assist the Appointing Authority. This would apply to both VCs currently serving and those who are retired as VCs if the allegation corresponds to their tenure(s) as VCs.

g. The University Anti-Plagiarism Standing Committee will submit an investigation report to the Head of the institution within 60 days which will also be shared with the complainant. In case of disagreement, the complainant may file an appeal to the Syndicate within the next 30 days.

h. The head of the Institution or Registrar or Director QEC will notify the outcome/ decision to the complainant, accuser (s), and HEC.

11. Role of the University Anti-Plagiarism Standing Committee

The University Anti-Plagiarism Standing Committee shall conduct the investigation. Depending on the details of the complaint, the investigation may include the following steps:

- a. Automated Check through Electronic Detection System (EDS) for content similarity or its extent by the Subject expert(s). Please see Annexure-3.
- b. Hard copies/Manually generated content can be scanned and converted to a searchable format.
- c. Determine the magnitude and quantum of significant material plagiarized.
- d. Solicit comments from the publishers and other relevant quarters.
- e. Contact relevant witnesses to gather and record statements when necessary.
- f. If needed, interview the present and/or past employers/supervisors/ collaborators or any other persons of interest related to the author(s).
- g. Consult with the legal counsel of the concerned University on all related matters throughout the inquiry process.
- h. Take any other necessary step(s), if deems fit to take.

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12. Submission of Findings by UAPSC

The UAPSC will submit its findings and recommendations to the Vice-Chancellor/Rector/ Head of the Organization within sixty (60) days. It should also be communicated to the publisher where applicable. Decisions made by the committee and approved by the Syndicate are to be implemented as soon as possible. Appeal against the decision of UAPSC will be made before the Syndicate within thirty (30) days of UAPSC decision.

13. Penalties for Plagiarism

Plagiarism is an unacceptable intellectual offense. As such, the penalties for plagiarism should be commensurate with the severity and recurrence of the offense as well as based on the impact of the academic standing of the offender. This entails a proportional increase in punitive action with minimum punishment for a first-time offense by a student/scholar who copies a homework assignment to a maximum punishment for a teacher/researcher/staff who publishes plagiarized material.

13.1 Grounds to Determine the Penalty:

When an act of plagiarism, as described above, is established, the UAPSC in its recommendations, DEPENDING UPON THE SERIOUSNESS OF THE PROVEN OFFENCE, will advise the Competent Authority of the University/DAIs to take any one or a combination of the following disciplinary action(s) against those found guilty of the offence:

A. Grounds for Major Penalty:

If the act of plagiarism is determined to be:

- a. Deliberate.
- b. Constitutes much of the publication.
- c. Is a duplicate publication claimed for credit more than once by the author(s)
- d. Is between 35% and 50% in the similarity index and/ or over 30% in the findings.
- e. Is simply a translation of another work.
- f. The result of collusion or falsification.
- g. Is a work of junk science (presenting untested and unproved theories, as scientific facts are known as junk science).
- h. Is material in which reference to the original material is not given.

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Major Penalty:

- a. Removal from service from the current institution/university as a faculty/non-faculty employee.
- b. Dismissal from the services as faculty/ non-faculty employee
- c. The awards/grants/benefits received based on plagiarized content shall be withdrawn, including promotion.
- d. Expulsion from the HEI (in the case of students).
- e. Suspension of studies for two (02) semesters (in the case of students)
- f. The offender may be barred from joining any institution of Higher Education in Pakistan for one year (in the case of the student)
- g. HEC or University/DAI may debar the offender from sponsorship of research funding, travel grant, scholarship, fellowship, or any other funded program for two (02) years.
- h. In the case of a published work, University or DAI should inform the publisher about the findings and request them to withdraw the plagiarized work forthwith.
- i. The offender may be stopped for supervision of new students (MPhil & Ph.D. students) for two (02) years. However, the students who are already in supervision will continue as supervisees of the offender(s).
- j. A notice may be circulated among all academic institutions and research organizations.

B. Grounds for Moderate Penalty: If plagiarism is determined to be:

- a. Deliberate
- b. Spread over a substantial part of the paper.
- c. Is between 25% and 35% in the similarity index (exclusive of tables, figures, and references) and/or 20-30% in the findings.
- d. The results of collusion or falsification.
- i. Is a work of junk science (presenting untested and unproved theories, as scientific facts are known as junk science)

Moderate Penalty:

- a. The offender may fail the course (in the case of the student)

- b. The offender may be stopped from increments/promotions/new appointments for two (02) years.
 - c. The offender may be stopped for supervision of new students (both MPhil & Ph.D. students) for one (01) year. However, the students who are already in supervision will continue as supervisees of the offender.
 - d. The offender shall not be eligible to seek and avail the funding for any national/international projects/grants and will not be eligible to become part of any joint project for one (01) year.
- C. Grounds for Minor Penalty:** If the plagiarism is determined to be:
- a. Unintentional; however, neither claimed for benefit nor mentioned in the CV.
 - b. Concentrated on one part of the paper.
 - c. Not more than 20 to 25% similarity index overall and/ or 10% in the findings
 - d. Does not materially affect the results.
 - e. Due to an error or omission or lapse of judgment.

Minor Penalty:

- a. Proposal revision (in the case of students)
- b. Mandatory to pass the "Research Ethics Course" before completing the degree.
- c. The offender may be given a formal warning which must be placed in the dossier/personal file.

13.2 Illustration/Examples:

1. In this scenario, a paper has over 25% Similarity Index (SI) and 15% of that is in the findings because the author did not properly rephrase the paper and was derived from an existing theoretical model. The author accepts the error while the findings are credible. The UAPSC, in this case, may award a minor penalty.
2. In this scenario, a paper is found to have been deliberately copied in part and is clearly the result of collusion among several authors. However, the findings are not necessarily inaccurate, and the SI is around 35% overall and 15% in the findings. In this case, a moderate penalty can be imposed.
3. In this scenario, the author(s) have published modified versions of the same paper in multiple journals and claimed credit for them. There is clear evidence of collusion and intent to defraud academia. This is a case that merits a severe penalty.

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Note: *It is worth noting that UAPSC may impose one or more than one penalty in all cases i.e., minor, moderate, and major penalties. Of course, it needs to be emphasized that these guidelines are meant to be employed with due caution and reason on the part of the UAPSC, keeping in view the particularities of a given case.*

If a paper is published in a supervisor-student relationship, then the student (s) will be the first author. This condition applies when a student is enrolled in a degree program and the supervisor is advising him/her in research work i.e., thesis or dissertation.

13.3 Co-author(s)/Declarations

- a. The primary responsibility for plagiarism in a publication lies with the Principal Authors (Corresponding Author/First Author). Any co-author(s) may be deemed partly responsible for plagiarism if the UAPSC investigation reveals that they were aware of the wrongdoing and chose to benefit from it, with their consent for publication duly taken. If the published work is part of a thesis of student and the co-author is not a supervisor, then justifications will be required in the form of no conflict of interest in publication.
- b. All authors/co-authors of a publication must sign a declaration that the material presented is not plagiarized (Sample attached as Annexure-1) and must exercise caution and diligence in associating themselves with any research work.

14. Additional Actions Required

In addition to the above punishments/considerations, the following additional actions must be taken, if the offence of plagiarism is established:

- a. If the plagiarized publication is accessible on the webpage, its access will be retracted.
- b. The publication itself will be kept in the database for future research or legal purposes.
- c. The author(s) will be asked to write a formal letter of apology to the authors of the original publication that was plagiarized.

- d. If the publication is submitted but not published, the draft publication will be rejected. However, a written warning shall be served to the author/ co-authors.

15. National Plagiarism Standing Committee

The HEC will establish a National Plagiarism Standing Committee (NPSC) to probe complaints against VC/Rectors/Head of Institution.

If a plagiarism complaint is not addressed by the university despite multiple attempts by the complainant, the complainant has the option to forward that complaint to HEC NPSC through the Chairperson, HEC, or the Head of the Quality Assurance Division. However, to have his/her complaint considered, the complainant shall be required to produce evidence that he/ she has submitted the complaint to the Vice Chancellor of the concerned university at least three (03) times.

Once the complaint is forwarded to HEC, the matter will be taken up with the university to place the complaint before the UAPSC. The University will be liable to submit a report to HEC within sixty (60) days. In case of non-compliance by the university, HEC NPSC will take up the matter for resolution and the Vice Chancellor will receive a formal '*Note of Displeasure*' consequently.

Membership of the National Plagiarism Standing Committee (NPSC) shall be for an initial period of two (02) years, extendable for another term(s). If a member does not show up consecutively for three (03) meetings without any strong justification, membership may be replaced. The NPSC will comprise of:

- a. Chair of the Committee- The Executive Director, HEC will Chair the Committee.
- b. Eminent Educationist/ Professors of known integrity to be nominated by the Chairperson, HEC.
- c. Four (04) subject experts to be proposed by the Quality Assurance Division in consultation with Academics and Research & Innovation Division from the broad disciplines viz. (medical, engineering, agriculture, and social sciences) of the study. The Executive Director of HEC will approve the experts from the jointly suggested list.
- d. Director General/Head of the Quality Assurance Division.
- e. The Quality Assurance Division shall provide the Secretariat Support to NPSC. The Chairperson HEC will approve the recommendations of NPSC.

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- f. The Convener of the NPSC may co-opt additional members if needed. The quorum of the committee will be two-thirds of the members including at least one (01) subject expert.

16. Appeal

HEC NPSC will be responsible to process the appeal in the following scenarios:

- a. All plagiarism complaints against students, faculty members, researchers, and other stakeholders shall be lodged at the university/organization where the accused is employed. The University Anti-Plagiarism Standing Committee shall investigate and conclude the matter. The first appeal against the UAPSC decision shall be lodged in the university through Syndicate within 30 days of the notification of the UAPSC decision. However, the complainant/accuser may lodge the second appeal to NPSC through Chairperson, HEC/ Head of Quality Assurance Division of HEC within six (06) months of the first complaint.
- b. The plagiarism complaint of the VC/Rector/Head of the organization is investigated by the NPSC as an initial complaint, and if the complainant or accused is dissatisfied with the NPSC recommendations then an appeal against the NPSC recommendations may be filed to the Chairperson HEC. However, in this scenario, NPSC subject experts will be different in entertaining the appeal.

A. The process of appeal for NPSC is given below:

- a. Appeals filed by the complainant/accused in plagiarism case(s) should be submitted before the Chairperson HEC/ Head of the Quality Assurance Division in writing through application in hard form or email or fax.
- b. The National Plagiarism Standing Committee (NPSC) shall review the appeal and co-opt the subject expert (s) accordingly (if required).
- c. The complainant and accused shall be given the opportunity to justify or provide evidence before the appeal committee in their defense.
- d. The NPSC shall review complaint(s) in the light of evidence/ justification produced by the accused.

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- e. The Law Officer shall provide an opinion about the legal aspects of the Appeal.
- f. The Minutes of the Meeting shall be recorded by the Secretary of the Committee/ by his supporting staff and approved by the Chairperson with the consent of the members.
- g. Final decision made by the Committee shall be conveyed to the appellant, Institution as well as to the Appointing Authority (if the complaint is against the Vice Chancellor/Head of the organization) through a letter after the approval of the HEC's competent authority.

B. The process of appeal for the University Anti-Plagiarism Standing Committee (UAPSC) is given below:

- a. Appeals filed by the complainant/accused in plagiarism case(s) should be submitted before the Syndicate in writing through application in hard form or email.
- b. The UAPSC shall review the appeal and co-opt the subject expert (s) accordingly (if required).
- c. The complainant and accused shall be given the opportunity to justify or provide evidence before the appeal committee in their defense.
- d. The UAPSC shall review complaint(s) in the light of evidence/ justification produced by the accused.
- e. UAPSC may also seek legal opinion through University Law Officer.
- f. The Minutes of the Meeting shall be recorded by the Secretary of the Committee/ by his/ her supporting staff and approved by the Chairperson with the consent of the members.
- g. The final decision made by the Committee shall be conveyed to the appellant, Head of the Institution/ Rector/ Vice Chancellor as well as to the Syndicate. A copy of the decision shall also be forwarded to the Head of the Quality Assurance Division. In case of dissatisfaction, the accused may file an appeal to the Chairperson HEC/ Head of the Quality Assurance Division.

17. Spurious/Malicious Accusations of Plagiarism

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If the case of plagiarism is not proven, and it is suspected that a spurious allegation was lodged, the Vice-Chancellor/Rector/Appointing Authority may initiate disciplinary proceedings under the Organization's Statutes and E&D/Student University Disciplinary rules against the accuser. Defamation Laws may also be applicable, in case of loss of reputation. If the accuser is from another organization, the Head of the Organization will be informed about the false allegation(s) with the request to proceed with disciplinary action against the accused. The name(s) of the false accuser(s) be subject to blacklisting as specified on the HEC website for a fixed period. Further, false accusers will not be eligible for the award of any grant/benefit from HEC. At each step of the process, HEC ought to be kept informed by the concerned organization.

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Annexure-1:

Sample Undertaking



HIGHER EDUCATION COMMISSION OF PAKISTAN
(Monograph & Textbook Writing Scheme)
Monograph/Textbook Proposal Submission Undertaking

Corresponding Author(s) name: _____

Corresponding Author(s) Address: _____

Title of Work: _____

The Higher Education Commission (Publisher) and the Monograph/Textbook Proposal Author (Authors if a multi-author Work) agree on the following:

1. The Monograph/Book will contain the original work of the author(s).
2. It will not violate the copyright or intellectual property rights of any person or entity.
3. It will not contain previously published material in whole or in part for which permission from the concerned parties has not been secured.
4. The author(s) recognize that if any material submitted for consideration to the HEC is found to be plagiarized, the HEC may bar the author(s) from participating in all HEC programs, and a public notice to this effect may be issued in print as well as electronic media. The HEC reserves the right to recover all amounts spent on evaluation/publication etc. and may take any other action deemed necessary to serve as deterrence against plagiarism.
5. The author(s) shall indemnify and hold the publisher harmless against loss or expenses arising from breach of any such warranties.
6. In consideration of the HEC's agreement to publish the work, the author(s) hereby grants HEC a nonexclusive, royalty-free license to print, publish, reproduce, or distribute the work throughout the world by all means of expression, including electronic format. The author(s) further grants HEC the right to use the author's name in association with the work in published form and promotional materials.

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7. The copyrights are duly reserved by the Higher Education Commission of Pakistan.

All authors are requested to sign this form. If not signed by all authors, the corresponding author acknowledges that s/he is signing on behalf of all the authors and with their authorization. Faxed signatures and multiple forms are acceptable provided the corresponding author collates all the material and submits it in one batch.

Author Signature: _____ Name: _____ Date: _____

Author Signature: _____ Name: _____ Date: _____

Publisher Signature: _____ Name: _____ Date: _____

Similar Schemes could be developed for authors or theses etc.

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Annexure 2:

Sample TORs for UAPSC

1. Director QEC/Registrar/Authorized Officer shall check that the complaint is not anonymous and shall verify the identity of the complainant.
2. They shall run an initial plagiarism test to verify that the complaint is genuine.
3. Experts may be engaged (if required) and asked to provide their individual opinion on the case.
4. They shall prepare questions for the hearing opportunity to the complainant and accused.
5. The convenor shall complete the final report on the case.
6. The investigation process must be completed within 60 days.
7. The committee recommendations shall be notified by the Director QEC/Registrar/Rector/Vice Chancellor of the University

It is important to consider that each institution should create its terms of reference (TORs) for assessing and evaluating a plagiarism complaint based on the given sample and then seek approval from their statutory bodies.

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Annexure-3:

Guidelines for Use of Electronic Detection System: Interpreting and Applying the Similarity Index

1. The similarity score is just a percentage of material in the paper that matches sources in the Electronic Detection System (EDS) databases. The Similarity Index is meant as a guideline and an alert but is not by itself conclusive evidence of plagiarism.
2. Text that is quoted and cited may appear as a match in the Similarity Report if quotes have not been excluded from the report; this offers a great opportunity to check for proper citation.
3. The similarity score must be interpreted in the context of the assignment and the actual writing. The only way to do this is to look at the Similarity Report.
4. If similarities in the Electronic Detection Systems (EDS) report are significant, i.e., without citation of the source, then the scholar/student or Faculty may be guided accordingly. In case, if he/she repeats the same action (Plagiarism) then it may be reported to the University Anti-Plagiarism Standing Committee with relevant evidence.
5. The similarities in the EDS report may contain matches with the author's previous work, i.e., thesis, paper, or report; these may be ignored if properly cited and are not too much. This is decided by the respective Instructor/subject expert.
6. Bibliography, Quoted/Cited material may be excluded from the EDS report after verification. Furthermore, the use of multiple sources without proper citations is also not acceptable.
7. Common phrases, proper nouns, universal truths, formulae, etc. may also appear as similarities in the EDS report, therefore every instructor/faculty member may ignore these. This also applies to small matches of less than five to ten words.
8. The EDS Similarity Report/Originality report will show similarities from three major sources: the Internet (Information available publicly), periodicals (subscribed sources i.e., Academic databases), and Student repository (Database of documents uploaded in the Turnitin by Instructors or Students). Similarity with student repository may be ignored if it is the author's work as principal investigator. Similarities from the Student repository may help detect complicity in the documents.

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9. EDS is a text-matching tool, therefore, similarity across graphs, formulae, mathematical equations, models, and other pictorial materials cannot necessarily be discerned with this software.
10. The similarity score must be interpreted by the relevant subject expert or faculty member supervising the student as they know the context of the assignment/paper/thesis/report, etc. In case of forwarding a complaint, the EDS report can be used as evidence if it supports the allegations raised by the complainant.
11. For submission of assignments, theses, and research papers, the 19% Similarity Index score for all disciplines is indicative of the possibility of plagiarism. In the case of theses/dissertations etc., the Ph.D./Supervisory Committee will function as an Expert Committee in this regard.
12. The Similarity index should be considered very seriously in the section of findings and conclusion of the document. The similarity index for that section should not be more than 9%. The results, conclusion, and recommendations may be separated in a suitable searchable format for uploading to EDS distinct from the remainder of the document.
13. If the report has a minimum similarity index $\leq 19\%$, then the benefit of the doubt may be given to the author but, in case, any single source has a similarity index $\geq 5\%$ then it needs to be checked as a basis of potential plagiarism; due to its drawing upon the author(s) own work(s), these may be considered acceptable and not be used to penalize the author(s), provided it does not result in a duplicate publication Levels of EDS may also be considered for further interpretation as per the EDS Manual

Note: Before the availability of Turnitin services in Pakistan, the ability of supervisors to verify that student's work i.e., Thesis, Research Papers, Reports, Assignments, etc. was limited in terms of checking similarities with previous works. Therefore, it is recommended that the applicability of the collective responsibility of supervisor/student be limited to the period from January 1, 2008. Any act of plagiarism committed before January 1, 2008, shall be treated as the individual responsibility of the author(s) and after January 1, 2008, supervisors and principal investigators will be responsible for any act of plagiarism committed by their students/co-author(s).

Frequently Asked Questions (FAQs)

Q1. What is plagiarism?

Plagiarism is using someone else's ideas, research, thoughts, words, graphics, tables, etc. either directly or indirectly, without properly acknowledging where the information is from. You plagiarize when you pass off someone else's work as your own by using their exact words or intellectual property (ideas). Whether you are trying to cheat or not, if you do not tell your readers where you found your ideas or words in your paper, you are plagiarizing.

Q2. Is plagiarism a serious offense?

Yes, according to the HEC Anti-plagiarism policy, plagiarism is a serious crime with legal ramifications.

Q3. Is it allowed to change the words (paraphrase) in the document?

Rephrasing a source's ideas without proper attribution is considered plagiarism.

Q4. What are the types of penalties for plagiarism?

There are three types of plagiarism penalties:

- a. Major penalty.
- b. Moderate penalty.
- c. Minor penalty.

Q6. How to lodge a plagiarism complaint?

The complaint relevant to plagiarism may be lodged with the VC/President/Rector or Head of the respective University/ DAI for probing the matter.

Q7. How to complain if the accused person is VC/Head of Organization?

In case the accused person is VC/ Head of Organization then the complaint should be forwarded to HEC for further probe through National Plagiarism Standing Committee.

Q8. What can HEIs do to avoid plagiarism?

To avoid plagiarism HEIs must provide orientation to young scholars embarking on ethical research activities. Scholars should be strongly encouraged to use subject knowledge and familiarity with the skills of students to confidently enforce the highest ethical standards to discourage plagiarism and academic cheating through existing detection and academic evaluation mechanism.

Q9. Can the Co-author be deemed responsible for plagiarism?

Co-Author can be deemed partly responsible for plagiarism if UAPSC investigations reveal that they were aware of wrongdoings and chose to benefit from it, with their consent for publication having been duly taken.

Q10. Are anonymous complaints entertained by HEIs or HEC?

No, anonymous complaints would not be considered for any action. The complainant must provide legally valid proof of Identity. (CNIC or Passport) as per government rule.

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Q11. What are the penalties against spurious allegations?

The Universities/HEIs are required to take disciplinary action against the accuser if the plagiarism claim is false. The HEC website will put the false accuser's name on a blacklist for a certain duration.

Q12. Is it plagiarism to use ChatGPT?

Yes, if copied and reproduced without proper attribution. Researchers may use AI and AI-assisted technologies to understand basic phenomena of anything and should not replace the key researcher tasks such as producing scientific insights analyzing and interpreting data or drawing scientific conclusions. The authors are responsible and accountable for the contents of the work and should not rely solely on AI-generated content.

Q13. If I summarize the work in my own words, would it be considered Plagiarism?

Summarizing data from any source without proper citation will be considered plagiarism because copying someone else's ideas is not allowed without proper reference or acknowledgment.

Q14. What if I cite the source from which I copied in the Bibliography?

No, a bibliography is a list of sources consulted not copied.

Q15. If I use multiple sources and cited them, how can it be plagiarism?

Using sources and copying from them without using inverted commas or discipline-relevant conventions would be considered plagiarism.

Q16. How much plagiarism is allowed in HEC?

Plagiarism is not allowed at all. HEC allows the similarity index to be less than or equal to 19% which is supposed to be a connection with the existing knowledge and 80% of research is contributed by the author. Further, less than 5% from a single source is allowed. Moreover, the faculty member, instructor, or subject expert may justify similarities in the report. The similarity index is just an indication of similar text which needs to be properly interpreted for determining plagiarism in the document.

Q17. What do you mean by proper citation?

It is acknowledging the academic sources which are consulted for the research work. The scholars are required to follow a proper documenting style relevant to their discipline.

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References:

1. APA Resources - What is Plagiarism? (nova.edu) visited on December 13, 2021
2. What is Plagiarism? - Plagiarism.org visited on December 13, 2021
3. Turnitin - The Plagiarism Spectrum visited on December 13, 2021